# Introduction to Hilbert schemes of curves on a 3-fold 

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## §1 Introduction

## Hilbert scheme

We work over a field $\boldsymbol{k}=\overline{\boldsymbol{k}}$ with char $\boldsymbol{k}=\mathbf{0}$.
$V \subset \mathbb{P}^{n}:$ a closed subscheme.
$O_{V}(\mathbf{1})$ : a very ample line bundle on $V$.
$X \subset V$ : a closed subscheme.
$\boldsymbol{P}=\boldsymbol{P}(\boldsymbol{X})=\chi\left(\boldsymbol{X}, O_{X}(\boldsymbol{n})\right)$ : the Hilbert polynomial of $\boldsymbol{X}$.
Then there exists a proj. scheme $\boldsymbol{H}$, called the Hilbert scheme of $V$, parametrizing all closed subschemes $X^{\prime}$ of $\boldsymbol{V}$ with the same Hilbert poly. $\boldsymbol{P}$ as $\boldsymbol{X}$.

## Theorem (Grothendieck'60)

There exists a proj. scheme $H$ and a closed subscheme $\boldsymbol{W} \subset \boldsymbol{V} \times \boldsymbol{H}$ (universal subscheme), flat over $\boldsymbol{H}$, such that
(1) the fibers $\boldsymbol{W}_{\boldsymbol{h}} \subset \boldsymbol{W}$ over a closed point $\boldsymbol{h} \in \boldsymbol{H}$ are closed subschemes of $\boldsymbol{V}$ with the same Hilb. poly. $\boldsymbol{P}\left(\boldsymbol{W}_{\boldsymbol{h}}\right)=\boldsymbol{P}$,
(2) For any scheme $\boldsymbol{T}$ and a closed subscheme $W^{\prime} \subset V \times T$ with the above prop. (1), there exists a unique morphism $\varphi: \boldsymbol{T} \rightarrow \boldsymbol{H}$ such that $\boldsymbol{W}^{\prime}=\boldsymbol{W} \times_{\boldsymbol{H}} \boldsymbol{T}$ as a subscheme of $\boldsymbol{V} \times \boldsymbol{T}$ (the universal property of $\boldsymbol{H}$ ).

## Notation

 Hilb $\boldsymbol{V} \quad=$ the (full) Hilbert scheme of $\boldsymbol{V}$ U openHilb $^{s c} \boldsymbol{V}:=\{$ smooth connected curves $\boldsymbol{C} \subset \boldsymbol{V}\}$
closed $\bigcup$ open
$\operatorname{Hilb}_{d, g}^{s c} V:=\{$ curves of degree degree $d$ and genus $g$ \}
$\left(d:=\operatorname{deg} O_{C}(1)\right)$

## Hilbert scheme of space curves

$\boldsymbol{V}=\mathbb{P}^{\mathbf{3}}$ : the projective $\mathbf{3}$-space over $\boldsymbol{k}$
$\boldsymbol{C} \subset \mathbb{P}^{\mathbf{3}}:$ a closed subscheme of $\operatorname{dim}=\mathbf{1}$
$d(\boldsymbol{C})$ : degree of $\boldsymbol{C}\left(=\sharp\left(\boldsymbol{C} \cap \mathbb{P}^{2}\right)\right)$
$g(C)$ : arithmetic genus of $\boldsymbol{C}$
We study the Hilbert scheme of space curves:

$$
\begin{aligned}
\boldsymbol{H}_{d, g} & :=\text { Hilb }_{d, g}^{s c} \mathbb{P}^{3} \\
& =\left\{\boldsymbol{C \subset \mathbb { P } ^ { 3 } | \begin{array} { l } 
{ \text { smooth and connected } } \\
{ d ( C ) = d \text { and } g ( C ) = g }
\end{array} \}}\right.
\end{aligned}
$$

## Why we study $\boldsymbol{H}_{d, g}$ ?

## Some reasons are:

- For every smooth curve $\boldsymbol{C}$, there exists a curve $\boldsymbol{C}^{\prime} \subset \mathbb{P}^{3}$ s.t. $\boldsymbol{C}^{\prime} \simeq \boldsymbol{C}$.
- Hilb ${ }^{s c} \mathbb{P}^{3}=\bigsqcup_{d, g} H_{d, g}$
- More recently, the classification of the space curves has been applied to the study of bir. automorphism

$$
\Phi: \mathbb{P}^{3} \cdots \mathbb{P}^{3}
$$

(for the construction of Sarkisov links
[Blanc-Lamy,2012]).

## Some basic facts

- If $\boldsymbol{g} \leq \boldsymbol{d} \boldsymbol{- 3}$, then $\boldsymbol{H}_{\boldsymbol{d}, \boldsymbol{g}}$ is irreducible [Ein,'86] and $\boldsymbol{H}_{\boldsymbol{d}, \boldsymbol{g}}$ is generically smooth of expected dimension $\mathbf{4 d}$.
- In general, $\boldsymbol{H}_{d, g}$ can become reducible, e.g $\boldsymbol{H}_{9,10}=W_{1}^{(36)} \sqcup W_{2}^{(36)}$ [Noether].
- the Hilbert scheme of arith. Cohen-Macaulay (ACM, for short) curves are smooth [Ellingsrud, '75].

$$
\boldsymbol{C} \subset \mathbb{P}^{3}: \text { ACM } \stackrel{\text { def }}{\Longleftrightarrow} \boldsymbol{H}^{1}\left(\mathbb{P}^{3}, I_{C}(l)\right)=\mathbf{0} \text { for all } l \in \mathbb{Z}
$$

- $\boldsymbol{H}_{\boldsymbol{d}, \boldsymbol{g}}$ can have many generically non-reduced irreducible components, e.g. [Mumford'62], [Kleppe'87], [Ellia'87], [Gruson-Peskine'82], etc.


## Infinitesimal property of the Hilbert scheme

$\boldsymbol{V}$ : a smooth projective variety over $\boldsymbol{k}$
$X \subset V$ : a closed subscheme of $V$
$I_{X}$ : the ideal sheaf defining $X$ in $V$
$N_{X / V}$ : the normal sheaf of $X$ in $V$
Fact (Tangent space and Obstruction group)
(c) The tangent space of Hilb $V$ at $[X]$ is isomorphic to $\operatorname{Hom}\left(\mathcal{I}_{X}, O_{X}\right) \simeq H^{0}\left(X, N_{X / V}\right)$
(2) Every obstruction ob to deforming $X$ in $V$ is contained in the group $\operatorname{Ext}^{1}\left(\boldsymbol{I}_{X}, O_{X}\right)$. If $X$ is a locally complete intersection in $\boldsymbol{V}$, then ob is contained in $\boldsymbol{H}^{1}\left(\boldsymbol{X}, \boldsymbol{N}_{X / V}\right)$

If $\boldsymbol{X}$ is a loc. comp. int. in $\boldsymbol{V}$, then we have the following inequalities:

## Fact

© We have
$h^{0}\left(X, N_{X / V}\right)-h^{1}\left(X, N_{X / V}\right) \leq \operatorname{dim}_{[X]} \operatorname{Hilb} V \leq h^{0}\left(X, N_{X / V}\right)$.
(2) In particular, if $\boldsymbol{H}^{1}\left(X, N_{X / V}\right)=0$, then Hilb $V$ is nonsingular at [ $X$ ] of dimension $\boldsymbol{h}^{0}\left(\boldsymbol{X}, \boldsymbol{N}_{X / V}\right)$.

## What is Obstruction?

$(\boldsymbol{R}, \mathfrak{m})$ : a local ring with residue field $\boldsymbol{k}$.
$\boldsymbol{R}$ is a regular loc. ring if $\mathbf{g r}_{\mathrm{m}} \boldsymbol{R}:=\bigoplus_{l=0}^{\infty} \mathfrak{m}^{l} / \mathfrak{m}^{l+1}$ is isom. to a polynomial ring over $\boldsymbol{k}$.
$\boldsymbol{X}$ : a scheme $\boldsymbol{X}$ of finite type over $\boldsymbol{k}$.
$X$ is nonsingular at $x \Longleftrightarrow O_{x, X}$ is a regular loc. ring.

## Proposition (infinitesimal lifting property of smoothness)

$\boldsymbol{R}$ is a regular local ring if and only if for any surjective homo. $\boldsymbol{\pi}: \boldsymbol{A}^{\prime} \rightarrow \boldsymbol{A}$ of Artinian rings $\boldsymbol{A}, \boldsymbol{A}^{\prime}$, a ring homo. $\boldsymbol{u}: \boldsymbol{R} \rightarrow \boldsymbol{A}$ lifts to $\boldsymbol{u}^{\prime}: \boldsymbol{R} \rightarrow \boldsymbol{A}^{\prime}$.
$\boldsymbol{X}(\boldsymbol{A})=\{\boldsymbol{f}: \operatorname{Spec} \boldsymbol{A} \rightarrow \boldsymbol{X}\}$ : the set of $\boldsymbol{A}$-valued points of $\boldsymbol{X}$.
$\boldsymbol{X}$ is nonsingular $\Longleftrightarrow$ the map $\boldsymbol{X}\left(\boldsymbol{A}^{\prime}\right) \rightarrow \boldsymbol{X}(\boldsymbol{A})$ is surjective for any surjection $\boldsymbol{u}: \boldsymbol{A}^{\prime} \rightarrow \boldsymbol{A}$ of Artinian rings.

If $\boldsymbol{X}$ is singular, then the map $\boldsymbol{X}\left(\boldsymbol{A}^{\prime}\right) \rightarrow \boldsymbol{X}(\boldsymbol{A})$ is not surjective in general.

There exists a vector space $\boldsymbol{V}$ over $\boldsymbol{k}$ (called obstruction group) with the following property: for any surjection $\boldsymbol{\pi}: \boldsymbol{A}^{\prime} \rightarrow \boldsymbol{A}$ of Artinian rings and $\boldsymbol{u}: \boldsymbol{R} \rightarrow \boldsymbol{A}$, there exists an element $\mathbf{o b}\left(\boldsymbol{u}, \boldsymbol{A}^{\prime}\right) \in V$ and

$$
\mathbf{o b}\left(u, A^{\prime}\right)=0 \Longleftrightarrow u \text { lifts to } u^{\prime}: R \rightarrow A^{\prime}
$$

Here $\mathbf{o b}\left(\boldsymbol{u}, \boldsymbol{A}^{\prime}\right)$ is called the obstruction for $\boldsymbol{u}$.

## First order deformation

$X \subset V$ : a closed subscheme of $V$.
$T$ : a scheme over $k$

## Definition

A deformation of $\boldsymbol{X}$ in $\boldsymbol{V}$ over $\boldsymbol{T}$ is a closed subscheme $X^{\prime} \subset V \times T$, flat over $T$, with $X_{0}=X$.

A deformation of $X$ over the ring of dual number $\boldsymbol{D}:=\boldsymbol{k}[t] /\left(t^{2}\right)$ is called a first order deformation of $\boldsymbol{X}$ in $\boldsymbol{V}$. By the univ. prop. of the Hilb. sch., there exists a one-to-one correspondence between
(1) $\boldsymbol{D}$-valued pts $\mathbf{S p e c} \boldsymbol{D} \rightarrow$ Hilb $V$ sending $0 \mapsto[X]$.
(2) first order deformations of $\boldsymbol{X}$ in $\boldsymbol{V}$

Applying the infinitesimal lifting prop. of smoothness to the surjection

$$
k[t] /\left(t^{3}\right) \rightarrow k[t] /\left(t^{2}\right) \rightarrow \mathbf{0},
$$

we have

## Proposition

If Hilb $\boldsymbol{V}$ is nonsingular at [ $\boldsymbol{X}$ ], then every first order deformation of $\boldsymbol{X}$ in $\boldsymbol{V}$ lifts to a (second) order deformation of $X$ in $V$ over $\boldsymbol{k}[t] /\left(t^{3}\right)$.
$W \subset$ Hilb $V$ : an irreducible closed subset of Hilb $V$.
$[X] \in W$ : a closed point of $W$
$X_{\eta} \in W$ : the generic point of $W$
Definition

- We say $\boldsymbol{X}$ is unobstructed (resp. obstructed) (in $\boldsymbol{V}$ ) if Hilb $V$ is nonsingular (resp. singular) at $[X]$.
- We say Hilb $V$ is generically smooth (resp. generically non-reduced) along $\boldsymbol{W}$ if Hilb $\boldsymbol{V}$ is nonsingular (resp. singular) at $\boldsymbol{X}_{\boldsymbol{\eta}}$.


## Mumford's example (a pathology)

$S \subset \mathbb{P}^{3}:$ a smooth cubic surface $\left(\simeq\right.$ Blow $\left._{6 \text { pts }} \mathbb{P}^{2}\right)$
$\boldsymbol{h}=\boldsymbol{S} \cap \mathbb{P}^{2}$ : a hyperplane section
$\boldsymbol{E}$ : a line on $S$
There exists a smooth connected curve

$$
C \in|4 h+2 E| \subset S \subset \mathbb{P}^{3},
$$

of degree 14 and genus 24 .
Then $\boldsymbol{C}$ is parametrized by a locally closed subset

$$
W=W^{(56)} \subset H_{14,24} \subset \text { Hilb }^{s c} \mathbb{P}^{3}
$$

of the Hilbert scheme.

The locally closed subset $\boldsymbol{W}^{(56)}$ fits into the diagram
$\left\{C \subset \mathbb{P}^{3} \left\lvert\, \begin{array}{c}C \subset{ }^{\boldsymbol{3} S}(\text { smooth cubic }) \\ \text { and } C \sim 4 h+2 E\end{array}\right.\right\}^{-}=: \quad W^{(56)} \subset \boldsymbol{H}_{14,24}$

$$
\downarrow \mathbb{P}^{39} \text {-bundle }
$$

$$
\binom{\text { family of smooth }}{\text { cubic surfaces }}=: \quad U \quad \underset{\text { open }}{\subset}\left|O_{\mathbb{P}^{3}}(3)\right| \simeq \mathbb{P}^{\mathbf{1 9}},
$$

where we have $\operatorname{dim}\left|O_{S}(C)\right|=39$ and $h^{0}\left(N_{C / \mathbb{P}^{3}}\right)=57$.
$\boldsymbol{H}^{0}\left(\boldsymbol{N}_{C / \mathbb{P}^{3}}\right)=$ the tangent space of $\mathbf{H i l b}{ }^{s c} \mathbb{P}^{3}$ at $[\boldsymbol{C}]$.
We have the following inequalities:

$$
56=\operatorname{dim} W \leq \operatorname{dim}_{[C]} \operatorname{Hilb}^{s c} \mathbb{P}^{3} \leq h^{0}\left(N_{C / \mathbb{P}^{3}}\right)=\mathbf{5 7 .}
$$

Thus we have a dichotomy between $(A)$ and $(B)$ :
(A) $\bar{W}$ is an irred. comp. of $\left(\text { Hilb }^{s c} \mathbb{P}^{3}\right)_{\text {red }}$. Hilb $^{s c} \mathbb{P}^{3}$ is generically non-reduced along $\bar{W}$.
(B) There exists an irred. comp. $\boldsymbol{W}^{\prime} \supsetneq \boldsymbol{W}$.

Hilb ${ }^{s c} \mathbb{P}^{3}$ is generically smooth along $\bar{W}$.
Which? $\leadsto$ The answer is $(\mathrm{A})$. (It suffices to prove Hilb $^{s c} \mathbb{P}^{3}$ is singular at the generic point $[\boldsymbol{C}]$ of $\boldsymbol{W}$. We will see later in §2)

## History

Later many non-reduced components of Hilb ${ }^{s c} \mathbb{P}^{3}$ were found by Kleppe['85], Ellia['87], Gruson-Peskine['82], Floystad['93] and Nasu['05].
Moreover, to the question "How bad can the deformation space of an object be?", Vakil['06] has answered that

## Law (Murphy's law in algebraic geometry)

Unless there is some a priori reason otherwise, the deformation space may be as bad as possible.

## A naive question

Nowadays non-reduced components of Hilbert schemes are not seldom. However,

## Question

What is/are the most important reason(s) (if any) for their existence?

Our answer is the following: (at least in Mumford's example,) a ( $-\mathbf{1}$ )-curve $\boldsymbol{E}$ (i.e. $\boldsymbol{E} \simeq \mathbb{P}^{1}, \boldsymbol{E}^{2}=-1$ ) on the (cubic) surface is the most important.

## A generalization of Mumford's ex.

## Theorem (Mukai-Nasu'09)

$V$ : a smooth projective 3-fold. Suppose that
(1) there exists a curve $E \simeq \mathbb{P}^{1} \subset V$
s.t. $N_{E / V}$ is generated by global sections,
(2) there exists a smooth surface $S$ s.t. $E \subset S \subset V$, $\left(E^{2}\right)_{S}=-1$ and $H^{1}\left(N_{S / V}\right)=p_{g}(S)=0$.
Then the Hilbert scheme Hilb ${ }^{s c} \boldsymbol{V}$ has infinitely many generically non-reduced components.

In Mumford's ex., $V=\mathbb{P}^{3}, S$ : a smooth cubic, $E$ : a line.

## Examples

We have many ex. of generically non-reduced components of $\mathbf{H i l b}^{\text {sc }} \boldsymbol{V}$ for uniruled 3-folds $\boldsymbol{V}$.

## Ex.

(1) Let $\boldsymbol{V}$ be a Fano $\mathbf{3}$-fold and let $-\boldsymbol{K}_{\boldsymbol{V}} \boldsymbol{=} \boldsymbol{H}+\boldsymbol{H}^{\prime}$, where $\boldsymbol{H}, \boldsymbol{H}^{\prime}$ : ample. ${ }^{\exists} \boldsymbol{S} \boldsymbol{\in}|\boldsymbol{H}|$ (smooth). If $S \neq \mathbb{P}^{2}$ nor $\mathbb{P}^{1} \times \mathbb{P}^{1}$, then there exists a $(-\mathbf{1})-\mathbb{P}^{1} \boldsymbol{E}$ on $\boldsymbol{S}$.
(2) Let $\boldsymbol{V} \xrightarrow{\pi} \boldsymbol{F}$ be a $\mathbb{P}^{1}$-bundle over a smooth surface $\boldsymbol{F}$ with $\boldsymbol{p}_{g}(\boldsymbol{F})=\mathbf{0}$. Let $\boldsymbol{S}_{1}$ be a section of $\boldsymbol{\pi}$ and $\boldsymbol{A}$ a sufficiently ample divisor on $\boldsymbol{F}$. Then there exists a smooth surface $\boldsymbol{S} \in\left|S_{1}+\pi^{*} A\right|$. Take a fiber $\boldsymbol{E}$ of $\boldsymbol{S} \boldsymbol{\rightarrow} \boldsymbol{F}$.

## §2 Infinitesimal analysis of the Hilbert scheme

In the analysis of Mumford's ex., we develop some techniques to computing the obstruction to deforming a curve on a uniruled 3 -fold ("obstructedness criterion").

Setting:<br>$V$ : a uniruled 3-fold<br>$S$ : a surface<br>$E$ : (-1)-curve on $S$<br>$C$ : a curve on $S$ with $\boldsymbol{C}, \boldsymbol{E} \subset S \subset V$

Obst. Criterion
$\qquad$

## Obstructions and Cup products

$\tilde{C} \subset V \times \operatorname{Spec} k[t] /\left(t^{2}\right):$
a first order (infinitesimal) deformation of $\boldsymbol{C}$ in $\boldsymbol{V}$ (i.e., a tangent vector of Hilb $\boldsymbol{V}$ at [ $\boldsymbol{C}]$ )

$$
\begin{array}{lcc}
\tilde{C} & \in & \{1 \text { st ord. def. of } C\} \\
\mathfrak{I} & \uparrow^{\exists_{1-t o-1}} & \\
\alpha & \in & \operatorname{Hom}\left(\mathcal{I}_{C}, O_{C}\right)
\end{array} \quad\left(\simeq \boldsymbol{H}^{0}\left(N_{C / V}\right)\right)
$$

Define the cup product $\mathbf{o b}(\alpha)$ by

$$
\mathbf{o b}(\alpha):=\alpha \cup \mathrm{e} \cup \alpha \in \operatorname{Ext}^{1}\left(\mathcal{I}_{C}, O_{C}\right)
$$

where $\mathbf{e} \in \operatorname{Ext}^{1}\left(O_{C}, I_{C}\right)$ is the ext. class of an exact sequence $\mathbf{0} \rightarrow I_{C} \rightarrow O_{V} \rightarrow O_{C} \rightarrow \mathbf{0}$.

## Fact

A first order deformation $\tilde{\boldsymbol{C}}$ lifts to a deformation over Spec $k[t] /\left(t^{3}\right)$ if and only if $\mathbf{o b}(\alpha)=\mathbf{0}$.

## Remark

- If $\mathbf{o b}(\alpha) \neq \mathbf{0}$, then Hilb $V$ is singular at $[C]$.
- If $\boldsymbol{C}$ is a loc. complete intersection in $\boldsymbol{V}$, then $\mathbf{o b}(\boldsymbol{\alpha})$ is contained in the small group $\boldsymbol{H}^{1}\left(\boldsymbol{C}, \boldsymbol{N}_{C / V}\right)$ ( $\subset \boldsymbol{E x t}^{1}\left(I_{C}, O_{C}\right)$ ).


## Exterior components

Let $\boldsymbol{C} \subset \boldsymbol{S} \subset \boldsymbol{V}$ be a flag of a curve, a surface and a $\mathbf{3}$-fold (all smooth), and let $\pi_{C / S}:\left.N_{C / V} \rightarrow N_{S / V}\right|_{C}$ be the natural projection.

## Definition

Define the exterior component of $\alpha$ and $\mathbf{o b}(\alpha)$ by

$$
\begin{array}{rll}
\pi_{S}(\alpha) & := & H^{0}\left(\pi_{C / S}\right)(\alpha) \\
\mathbf{o b}_{S}(\alpha) & := & H^{1}\left(\pi_{C / S}\right)(\mathbf{o b}(\alpha)),
\end{array}
$$

respectively.

## Infinitesimal deformation with pole

Let $\boldsymbol{E} \subset S \subset V$ be a flag of a curve, a surface and a 3 -fold.

## Definition

A rational section $\boldsymbol{v}$ of $\boldsymbol{N}_{S / V}$ admitting a pole along $\boldsymbol{E}$, i.e.

$$
v \in H^{0}\left(N_{S / V}(E)\right) \backslash H^{0}\left(N_{S / V}\right),
$$

is called an infinitesimal deformation with a pole.
Remark (an interpretation)
Every inf. def. with a pole induces a 1st ord. def. of the open surface $S^{\circ}=\boldsymbol{S} \backslash \boldsymbol{E}$ in $\boldsymbol{V}^{\circ}=\boldsymbol{V} \backslash \boldsymbol{E}$ by the map

$$
H^{0}\left(N_{S / V}(E)\right) \hookrightarrow H^{0}\left(N_{S^{\circ} / V^{\circ}}\right)
$$

## Obstructedness Criterion

Now we are ready to give a sufficient condition for a first order infinitesimal deformation of $\tilde{C}\left(\subset V \times \operatorname{Spec} k[t] /\left(t^{2}\right)\right.$ ) of $\boldsymbol{C}$ in $\boldsymbol{V}$ to be obstructed. i.e, $\tilde{\boldsymbol{C}}$ does not lift to any second order deformation $\tilde{\tilde{C}}\left(\subset V \times \operatorname{Spec} k[t] /\left(t^{3}\right)\right.$ ).

## Condition ( $れ$ )

We consider $\alpha \in H^{0}\left(N_{C / V}\right)$ satisfying the following condition ( $\hat{\boldsymbol{z}}$ ): the ext. comp. $\pi_{S}(\alpha)$ of $\alpha$ lifts to an inf. def. with a pole along $E$, say $v$, and its restriction $\left.v\right|_{E}$ to $E$ does not belong to the image of the map $\pi_{E / S}(E):=\pi_{E / S} \otimes O_{S}(E)$.

$$
\begin{aligned}
& \begin{array}{ccr}
\boldsymbol{H}^{0}\left(\boldsymbol{N}_{C / V}\right) & \ni \alpha & \boldsymbol{H}^{0}\left(\boldsymbol{N}_{E / V}(\boldsymbol{E})\right) \\
\downarrow_{C / S} & \downarrow & \pi_{\pi_{E / S}(\boldsymbol{E})}
\end{array} \\
& \left.H^{0}\left(\left.N_{S / V}\right|_{C}\right) \quad \underset{\left(=\left.v\right|_{C}\right)}{\ni \pi_{S}(\alpha)} \stackrel{\text { res. }}{\longleftrightarrow} v \stackrel{\text { res. }}{\longmapsto}\right|_{E} \in H^{0}\left(\left.N_{S / V}(E)\right|_{E}\right) \\
& \cap \\
& H^{0}\left(\left.N_{S / V}(E)\right|_{C}\right) \stackrel{\text { res. }}{\leftarrow} \quad \boldsymbol{H}^{0}\left(\boldsymbol{N}_{S / V}(E)\right)
\end{aligned}
$$

## Theorem (Mukai-Nasu'09)

Let $\boldsymbol{C}, \boldsymbol{E} \subset \boldsymbol{S} \subset \boldsymbol{V}$ be as above. Suppose that $\boldsymbol{E}^{2}<\mathbf{0}$ on $\boldsymbol{S}$, and let $\alpha \in \boldsymbol{H}^{\mathbf{0}}\left(\boldsymbol{N}_{\boldsymbol{C} / V}\right)$ satisfy ( $\hat{\nu}$ ). If moreover,
(1) Let $\Delta:=\boldsymbol{C}+\left.\boldsymbol{K}_{V}\right|_{S}-2 \boldsymbol{E}$ on $\boldsymbol{S}$. Then

$$
\begin{equation*}
(\Delta \cdot E)_{S}=2\left(-E^{2}+g(E)-1\right) \tag{2.1}
\end{equation*}
$$

(2) the res. map $H^{0}(S, \Delta) \rightarrow H^{0}\left(E,\left.\Delta\right|_{E}\right)$ is surjective, then we have $\boldsymbol{o b}_{S}(\alpha) \neq 0$.

## Remark

If $\boldsymbol{E}$ is a $(-1)-\mathbb{P}^{1}$ on $\boldsymbol{S}$, then the RHS of (2.1) is equal to $\mathbf{0}$.

## How to apply Obstructedness Criterion

(Mumford's ex. $\boldsymbol{V}=\mathbb{P}^{3}$ )
Every general member $\boldsymbol{C} \subset \mathbb{P}^{3}$ of Mumford's ex.
$W^{(56)} \subset$ Hilb $^{s c} \mathbb{P}^{3}$ is contained in a smooth cubic surface $S$ and $C \sim 4 \boldsymbol{h}+2 \boldsymbol{E}$ on $S$ ( $E$ : a line, $\boldsymbol{h}$ : a hyp. sect.).
Let $\boldsymbol{t}_{\boldsymbol{W}}$ denote the tangent space of $\boldsymbol{W}$ at $[\boldsymbol{C}]$
$\left(\operatorname{dim} t_{W}=\operatorname{dim} W=56\right)$.
Then there exists a first order deformation

$$
\tilde{C} \longleftrightarrow \alpha \in H^{0}\left(C, N_{C / \mathbb{P}^{3}}\right) \backslash t_{W}
$$

of $\boldsymbol{C}$ in $\mathbb{P}^{3}$.
Claim
$\mathbf{o b}(\alpha) \neq 0$.

## Proof.

Since $\boldsymbol{H}^{1}\left(\boldsymbol{N}_{S / \mathbb{P}^{3}}(\boldsymbol{E}-\boldsymbol{C})\right)=\mathbf{0}$, the ext. comp.
$\pi_{C / S}(\alpha) \in H^{0}\left(\left.N_{S / \mathbb{P}^{3}}\right|_{C}\right)$ of $\alpha$ has a lifts to a rational section $\boldsymbol{v} \in \boldsymbol{H}^{\mathbf{0}}\left(\boldsymbol{N}_{S / \mathbb{P}^{3}}(\boldsymbol{E})\right)$ on $\boldsymbol{S}$ (an inf. def. with a pole). By the key lemma below, the restriction $\left.\nu\right|_{E}$ to $E$ is not contained $\operatorname{im} \pi_{E / S}(E)$. Since $C \sim 4 \boldsymbol{h}+\mathbf{2 E}=-\left.K_{\mathbb{P}^{3}}\right|_{S}+2 E$, the divisor $\Delta$ is zero. Thus the condition (1) and (2) are both satisfied.

## Lemma (Key Lemma)

Since $\boldsymbol{C}$ is general, the finite scheme $\boldsymbol{Z}:=\boldsymbol{C} \cap \boldsymbol{E}$ of length $\mathbf{2}$ is not cut out by any conic in $|\boldsymbol{h}-\boldsymbol{E}| \simeq \mathbb{P}^{\mathbf{1}}$ on $\boldsymbol{S}$.

## §3 Obstruction to deforming curves on a quartic surface

## Expectation

Let

$$
C \subset S \subset V
$$

be a flag of a curve, a surface, a 3-fold.
We study the deformation of $\boldsymbol{C}$ in $\boldsymbol{V}$ with a help of the intermediate surface $\boldsymbol{S}$ and rational curves $\boldsymbol{E} \simeq \mathbb{P}^{1}$ on $\boldsymbol{S}$.

## Expectation

- Negative curves $\boldsymbol{E}\left(\boldsymbol{E}^{2}<\mathbf{0}\right)$ on $\boldsymbol{S}$ control the deformations of $\boldsymbol{C}$ in $\boldsymbol{V}$.
- The obstructedness of $\boldsymbol{C}$ follows from the geometry of $\boldsymbol{S}$ and $\boldsymbol{E}, \boldsymbol{C}$.

We study the deformation of space curves

$$
C \subset \mathbb{P}^{3}
$$

under the assumption

## Assumption

$\boldsymbol{C}$ is contained in a smooth quartic surface $S \subset \mathbb{P}^{3}$.
Here $\boldsymbol{S}$ is a K3 surface.
$\rho:=\rho(S)$ : the Picard number of $S$.
$\mathbf{h}=O_{S}(\mathbf{1}) \in \operatorname{Pic} S$ : the cls. of hyp. section of $S$.

## Another assumption

If $\boldsymbol{S}$ is general, then $\boldsymbol{\rho}=\mathbf{1}$. Then $\boldsymbol{C} \sim \boldsymbol{n h}$ for some $\boldsymbol{n} \in \mathbb{N}$, i.e., $\boldsymbol{C}$ is a comp. int. on $\boldsymbol{S}$, and hence unobstructed (ACM).

Assume that

## Assumption

There exists a rational curve $E \simeq \mathbb{P}^{1}$ on $S$.
For an irred. curve $\boldsymbol{E} \subset S$, we have

$$
E \simeq \mathbb{P}^{1} \Longleftrightarrow E^{2}=-2 . \quad((-2) \text {-curve })
$$

## Mori's result

## Theorem (Mori'84)

If there exists a smooth curve $\boldsymbol{E}_{\mathbf{0}} \nsim \boldsymbol{n h}$, on a smooth quartic surface $\boldsymbol{S}_{0}$, then there exists a smooth curve $\boldsymbol{E}$ on a (general) smooth quartic surface $S$ of the same degree and genus as $\boldsymbol{E}_{0}$ satisfying

$$
\operatorname{Pic}(S)=\mathbb{Z} \mathbf{h} \oplus \mathbb{Z} E .
$$

By Mori's result, we may assume that $\boldsymbol{\rho}(\boldsymbol{S})=\mathbf{2}$ and

$$
\operatorname{Pic}(S)=\mathbb{Z} \mathbf{h} \oplus \mathbb{Z} E
$$

for studying the deformation of $C \subset S$ in $\mathbb{P}^{3}$.
Let $\boldsymbol{e}(=\mathbf{h} \cdot \boldsymbol{E})$ be the degree of $\boldsymbol{E}$. Then the intersection matrix on $S$ is given by

$$
\left(\begin{array}{cc}
\mathbf{h}^{2} & \mathbf{h} \cdot E \\
\mathbf{h} \cdot E & E^{2}
\end{array}\right)=\left(\begin{array}{cc}
4 & e \\
e & -2
\end{array}\right) .
$$

## Mori cone of smooth K3 surface ( $\rho=2$ )

$\boldsymbol{X}$ : a smooth K 3 surface.
$\mathrm{NE}(X):=\left\{\sum a_{i}\left[C_{i}\right] \mid C_{i}\right.$ : irred. curve on $\left.X, a_{i} \geq 0\right\}$
$\overline{\mathrm{NE}(X)}=\overline{\operatorname{Eff}(X)} \subset \operatorname{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{R} \quad$ (Mori cone of $X$ )
$\rho=2 \Longrightarrow \overline{\mathrm{NE}(X)}=\mathbb{R}_{\geq 0} x_{1}+\mathbb{R}_{\geq 0} x_{2}$.

## Fact (A special case of Kovacs'94)

If $\rho=\mathbf{2}$, then $\overline{\mathbf{N E}(\boldsymbol{X})}$ is spanned by either:
(1) (-2)-curve and elliptic curve,
(2) two (-2)-curves,
(3) two elliptic curves, or

4 two non-effective divisors $x_{1}, x_{2}$ with $x_{i}^{2}=0$.

Ex.
(1) $\boldsymbol{E}$ is a line on $\boldsymbol{S}, \boldsymbol{F}:=\mathbf{h}-\boldsymbol{E} . \boldsymbol{F}^{\mathbf{2}}=\mathbf{0}$ (elliptic). Then the ext. rays are spanned by $\boldsymbol{E}$ and $\boldsymbol{F}$.
(2) $\boldsymbol{E}_{1}$ is a conic on $S, \boldsymbol{E}_{\mathbf{2}}:=\mathrm{h}-\boldsymbol{E}_{\mathbf{1}} . \boldsymbol{E}_{\mathbf{2}}^{\mathbf{2}}=\mathbf{- 2}$ (conic). Then the ext. rays are spanned by $\boldsymbol{E}_{1}, \boldsymbol{E}_{2}$.
(3) $F_{1}$ is a complete intersection (2) $\cap(2) \subset \mathbb{P}^{3}$. $\boldsymbol{F}_{\mathbf{2}}:=\mathbf{2 h}-\boldsymbol{F}_{1} . \boldsymbol{F}_{\mathbf{1}}^{\mathbf{2}}=\boldsymbol{F}_{\mathbf{2}}^{\mathbf{2}}=\mathbf{0}$ (two elliptics). Then the ext. rays are spanned by $\boldsymbol{F}_{\mathbf{1}}, \boldsymbol{F}_{\mathbf{2}}$.

## Mori cone of smooth quartic surface $(\rho=2)$

## Lemma

Assume ${ }^{\boldsymbol{\exists}} \boldsymbol{E} \simeq \mathbb{P}^{1}$ on a smooth quartic surface $S$ and Pic $\boldsymbol{S}=\mathbb{Z} \mathbf{h} \oplus \mathbb{Z} \boldsymbol{E}$. Let $\boldsymbol{e}$ be the degree of $\boldsymbol{E}$.
(1) If $\boldsymbol{e}=\mathbf{1}$, then $\overline{\mathrm{NE}(\boldsymbol{S})}$ is spanned by $\boldsymbol{E}$ and elliptic curve $\boldsymbol{F}=\mathbf{h}-\boldsymbol{E}$.
(2) if $\boldsymbol{e} \geq \mathbf{2}$, then $\mathrm{NE}(\boldsymbol{S})$ is spanned by $\boldsymbol{E}$ and $\boldsymbol{E}^{\prime}$, where $\boldsymbol{E}^{\prime} \simeq \mathbb{P}^{1}$.

## Proof.

Solve the Pell's equation
$2 x^{2}+e x y-y^{2}=-1 \quad\left(\Longleftrightarrow \quad(x \mathrm{~h}+y E)^{2}=-2\right)$

## the classes of the other ( -2 )-curves

The classes of the other (-2)-curve $\boldsymbol{E}^{\prime}$ is explicitly obtained as follows:

| $e=d(E)$ | the class of (-2)-curve $\boldsymbol{E}^{\prime}$ |
| :---: | :---: |
| 2 | h - E |
| 3 | 16h-9E |
| 4 | $2 h-E$ |
| 5 | 8h-3E |
| 6 | 3h-E |
| 7 | 40h-11E |
| 8 | 4h-E |
| 9 | 106000h-23001E |
| ! | : |

## Theorem

Let $\boldsymbol{S} \subset \mathbb{P}^{3}$ be a smooth quartic surface containing a line $\boldsymbol{E}$.
Suppose that Pic $S=\mathbb{Z} \mathbf{h} \oplus \mathbb{Z} \boldsymbol{E}$.
Let $\boldsymbol{C} \subset \boldsymbol{S}$ be a curve, let $\boldsymbol{F}:=\mathbf{h}-\boldsymbol{E}$, and suppose that $D:=C-4 \mathrm{~h} \geq 0$.
Then
(1) If $\boldsymbol{D} \cdot \boldsymbol{E} \geq \mathbf{- 1}$ and $\boldsymbol{D} \neq \boldsymbol{n} \boldsymbol{F}$ for any $\boldsymbol{n} \geq \mathbf{2}$, or $\boldsymbol{D}=\boldsymbol{E}$, then $C$ is unobstructed.
(2) If $\boldsymbol{D} \cdot \boldsymbol{E}=\mathbf{- 2}$ and $\boldsymbol{D} \neq \boldsymbol{E}$, then $\boldsymbol{C}$ is obstructed.

## Theorem

Let $S \subset \mathbb{P}^{3}$ be a smooth quartic surface containing a rational curve $E \simeq \mathbb{P}^{\mathbf{1}}$ of degree $\boldsymbol{e} \geq \mathbf{2}$. Suppose that

$$
\text { Pic } S=\mathbb{Z} \mathbf{h} \oplus \mathbb{Z} E .
$$

Let $\boldsymbol{E}^{\prime}$ be another (-2)-curve on $\boldsymbol{S}$, and let $\boldsymbol{C} \subset \boldsymbol{S}$ be a curve, and suppose that $D:=C-4 \mathrm{~h} \geq 0$.
(1) If $\boldsymbol{D}$ is nef, $\boldsymbol{D}=\boldsymbol{E}$ or $\boldsymbol{D}=\boldsymbol{E}^{\prime}$, then $\boldsymbol{C}$ is unobstructed.
(2) If $\boldsymbol{D} \cdot \boldsymbol{E}=\mathbf{- 2}$ and $\boldsymbol{D} \neq \boldsymbol{E}$, then $\boldsymbol{C}$ is obstructed.

## Thank you for your attention!

## Reference

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