

# The Hilbert scheme of canonical curves in del Pezzo 3-folds and its application to the Hom scheme. \*

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Abstract: Modifying Mumford's example, we construct a generically non-reduced component of the Hilbert scheme  $\mathrm{Hilb}^S V_d$  parametrizing smooth connected curves in a smooth del Pezzo 3-fold  $V_d \subset \mathbb{P}^{d+1}$  of degree  $d$ . As its application, we construct a new example of a generically non-reduced component of the Grothendieck's Hom scheme  $\mathrm{Hom}(X, V_3)$  parametrizing morphisms from a general curve  $X$  of genus 5 to a general cubic 3-fold  $V_3$ .

## §0 Introduction

For given projective scheme  $V$ ,  $\mathrm{Hilb}^S V$  denotes the Hilbert scheme of smooth connected curves in  $V$ . Mumford[13] showed that the Hilbert scheme  $\mathrm{Hilb}^S \mathbb{P}^3$  contains a generically non-reduced (irreducible) component. Let  $V_d \subset \mathbb{P}^{d+1}$  be a smooth del Pezzo 3-fold of degree  $d$ . In this article, modifying and simplifying Mumford's example, we construct a generically non-reduced component of  $\mathrm{Hilb}^S V_d$  as an example of the Hilbert scheme of curves in other Fano 3-folds.

**Theorem 1.** Let  $V_d \subset \mathbb{P}^{d+1}$  be a smooth del Pezzo 3-fold of degree  $d$ . Then  $\mathrm{Hilb}^S V_d$  has an irreducible component  $W$  which is generically non-reduced.

Every canonical curve of genus  $g = d + 2$  is contained in the projective space  $\mathbb{P}^{d+1}$ . We consider the irreducible components of  $\mathrm{Hilb}^S V_d$  whose general member is an embedding of a canonical curve  $X$  into  $V_d \subset \mathbb{P}^{d+1}$ . There are two kinds of embeddings  $f : X \hookrightarrow V_d$ : one is linearly normal (i.e.  $H^1(\mathcal{I}_{f(X)/V_d}(1)) = 0$ ) and the other is linearly non-normal (i.e.  $H^1(\mathcal{I}_{f(X)/V_d}(1)) \neq 0$ ). Correspondingly, there exists (at least) two irreducible components of  $\mathrm{Hilb}^S V_d$ . One is generically reduced and the other is generically non-reduced. A general

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member of the generically reduced one is linearly normal, while that of the generically non-reduced one is linearly non-normal. The irreducible component  $W$  of the theorem is the second one.

A general member of  $W$  is a curve  $C \subset V_d$  contained in a smooth hyperplane section  $S_d = V_d \cap H$ , that is a del Pezzo surface of degree  $d$ , and the linear span  $\langle C \rangle \subset \mathbb{P}^{d+1}$  is  $\mathbb{P}^d$ . Moreover we see that  $C$  is a projection of a canonical curve  $X \subset \mathbb{P}^{d+1}$  from a general point of  $\mathbb{P}^{d+1}$ .

$$\begin{array}{ccccccc} \mathbb{P}^{d+1} & \supset & X & & V_d & \subset & \mathbb{P}^{d+1} \\ \text{generic projection} \downarrow & & \downarrow \simeq & & \cup & & \uparrow \\ \mathbb{P}^d & \supset & C & \hookrightarrow & S_d = V_d \cap H & \subset & H \simeq \mathbb{P}^d \end{array}$$

We apply Theorem 1 for  $d = 3$  (i.e.  $V_d$  is a cubic 3-fold  $V_3 \subset \mathbb{P}^4$ ) to show the non-reducedness of the Hom scheme.

For given two projective schemes  $X$  and  $V$ , the set of morphisms  $f : X \rightarrow V$  has a natural scheme structure as a subscheme of the Hilbert scheme of  $X \times V$ . We call this scheme the *Hom scheme* and denote by  $\text{Hom}(X, V)$ . When we fix a projective embedding  $V \hookrightarrow \mathbb{P}^n$ , or a polarization  $\mathcal{O}_V(1)$  of  $V$  more generally, all the morphisms of degree  $d$  are parametrized by an open and closed subscheme, which we denote by  $\text{Hom}_d(X, V)$ .

In what follows, we assume that both  $X$  and  $V$  are smooth and  $X$  is a curve. It is well known that the Zariski tangent space of  $\text{Hom}(X, V)$  at  $[f]$  is isomorphic to  $H^0(X, f^*\mathcal{T}_V)$  and the following dimension estimate holds:

$$\deg f^*(-K_V) + n(1 - g) \leq \dim_{[f]} \text{Hom}(X, V) \leq \dim H^0(X, f^*\mathcal{T}_V), \quad (1)$$

where  $n = \dim V$ ,  $g$  is the genus of  $X$  and  $\mathcal{T}_V$  is the tangent bundle of  $V$ . The lower bound is equal to  $\chi(f^*\mathcal{T}_V)$  and called the *expected dimension*.

The Hom scheme from a curve plays a central role in Mori theory and the study of Gromov-Witten invariants. However we do not have many examples of the Hom scheme, especially of those from irrational curves. In this article we study the Hom scheme  $\text{Hom}_8(X, V_3)$  of morphisms of degree 8 from a general curve  $X$  of genus 5 to a smooth cubic 3-fold  $V_3 \subset \mathbb{P}^4$  and show the following:

**Theorem 2.** Assume that  $V_3$  is either general or of Fermat type

$$V_3^{\text{Fermat}} : x_0^3 + x_1^3 + x_2^3 + x_3^3 + x_4^3 = 0 \subset \mathbb{P}^4.$$

Then  $\text{Hom}_8(X, V_3)$  has an irreducible component  $T$  of expected dimension ( $= 4$ ) which is generically non-reduced.

- Remark 3.** (1) The expected dimension is equal to  $2d+3(1-g) = 4$  since  $\mathcal{O}_V(-K_{V_3}) \simeq \mathcal{O}_{V_3}(2)$ . The tangential dimension of  $\text{Hom}_8(X, V_3)$  at a general point  $[f] \in T$  is equal to  $h^0(f^*\mathcal{T}_{V_3}) = 5$ .
- (2) It is known that the Hom schemes  $\text{Hom}_1(\mathbb{P}^1, V)$  from  $\mathbb{P}^1$  to certain special Fano 3-folds  $V$  are generically non-reduced (cf. §3.3).

Mumford constructed the generically non-reduced component of  $\text{Hilb}^S \mathbb{P}^3$  to show the *pathology* of the Hilbert schemes. After his study, by the many continued works [7], [9], [5], [4], [6] and [10], we have seen that non-reduced components frequently appear in  $\text{Hilb}^S \mathbb{P}^3$ . Thus the non-reducedness itself is no longer pathology now. However the non-reducedness seems to be derived from case by case reasons. One of the motivation of our work is to find more intrinsic reason for the non-reducedness of the Hilbert schemes and the Hom schemes (if there exists).

We proceed in this article as follows. In §1 we prove Theorem 1. As a special case of the theorem, we show that the Hilbert scheme  $\text{Hilb}^S V_3$  has a generically non-reduced component  $\tilde{W}$ . In §2 we consider a natural morphism  $\varphi : \tilde{W} \rightarrow \mathfrak{M}_5$  (classification morphism) from  $\tilde{W}$  to the moduli space  $\mathfrak{M}_5$  of curves of genus 5 and prove its dominance. Since a general fiber of  $\varphi$  is birationally equivalent to a component  $T$  of the Hom scheme  $\text{Hom}(X, V_3)$ , we deduce Theorem 2 from the smoothness of  $\mathfrak{M}_5$ . Finally we see other examples concerning non-reduced components of the Hilbert schemes and Hom schemes in §3. We work over an algebraically closed field  $k$  of characteristic 0 throughout.

**Notation 4.** For a given algebraic variety  $V$ ,  $\text{Hilb}_{d,g}^S V$  denotes the subscheme of  $\text{Hilb}^S V$  consisting of curves of degree  $d$  and genus  $g$ .  $\text{Hilb}^S V$  is the disjoint union  $\bigsqcup_{(d,g) \in \mathbb{Z}^2} \text{Hilb}_{d,g}^S V$ .

## §1 Non-reduced components of the Hilbert scheme

In this section, we show that for every smooth del Pezzo 3-fold  $V_d \subset \mathbb{P}^{d+1}$ , the Hilbert scheme  $\text{Hilb}^S V_d$  has a generically non-reduced component of dimension  $4d + 4$ .

**Del Pezzo 3-folds** A smooth 3-fold  $V_d \subset \mathbb{P}^{d+1}$  is called *del Pezzo* (of degree  $d$ ) if every linear section  $[V_d \subset \mathbb{P}^{d+1}] \cap H_1 \cap H_2$  with general two hyperplanes  $H_1, H_2 \subset \mathbb{P}^{d+1}$  is an elliptic normal curve  $F_d \subset \mathbb{P}^{d-1}$  (of degree  $d$ ).

**Example 5.** [del Pezzo 3-folds]

del Pezzo 3-folds	degree	
$V_3 = (3) \subset \mathbb{P}^4$	3	cubic hypersurface
$V_4 = (2) \cap (2) \subset \mathbb{P}^5$	4	complete intersection
$V_5 = [\mathrm{Gr}(2, 5) \xrightarrow{\text{Plücker}} \mathbb{P}^9] \cap H_1 \cap H_2 \cap H_3$	5	linear section of Grassmannian
$V_6 = [\mathbb{P}^2 \times \mathbb{P}^2 \xrightarrow{\text{Segre}} \mathbb{P}^8] \cap H$	6	
$V'_6 = [\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \xrightarrow{\text{Segre}} \mathbb{P}^7]$	6	
$V_7 = \mathrm{Blow}_{\mathrm{pt}} \mathbb{P}^3 \subset \mathbb{P}^8$	7	blow-up of $\mathbb{P}^3$ at a point
$V_8 = \mathbb{P}^3 \xrightarrow{\text{Veronese}} \mathbb{P}^9$	8	

**Remark 6.** The del Pezzo 3-folds  $V_d$  of degree  $d = 1$  and  $d = 2$  are also known. They can be realized as a hypersurface of a weighted projective space.

Let  $V_d \subset \mathbb{P}^{d+1}$  be a smooth del Pezzo 3-fold of degree  $d \leq 7$ , and let  $S_d = V_d \cap H$  be a smooth hyperplane section of  $V_d$ , and let  $E$  be a line contained in  $S_d$ . All pairs  $(S_d, E)$  of such  $S_d$  and  $E$  are parametrized by an open subset  $P$  of a  $\mathbb{P}^{d-1}$ -bundle over the Fano surface  $F \subset G(1, \mathbb{P}^{d+1})$  of lines on  $V_d$ . We consider the complete linear system  $\Lambda := |-2K_{S_d} + 2E|$  on  $S_d$ . Then  $\Lambda$  is the pull-back of  $|-2K_{S_{d+1}}| \simeq \mathbb{P}^{3(d+1)}$  on the surface  $S_{d+1}$ , the blow-down of  $E$  on  $S_d$ .  $\Lambda$  is base point free and every general member  $C$  of  $\Lambda$  is a smooth connected curve of degree  $2d + 2$  and genus  $d + 2$ . All such curves  $C$  are parametrised by an open subset  $W$  of a  $\mathbb{P}^{3d+3}$ -bundle over  $P$ . Thus we have a diagram

$$\begin{array}{ccccc}
\{(S_d, C) | C \in |-2K_{S_d} + 2E|\} & = & W & \rightarrow & \mathrm{Hilb}_{2d+2, d+2}^S V_d \\
& & \downarrow \mathbb{P}^{3d+3}\text{-bundle} & & \\
\{(S_d, E) | E \subset S_d\} & = & P & & \\
& & \downarrow \mathbb{P}^{d-1}\text{-bundle} & & \\
\{E \subset V_d\} & = & F. & & 
\end{array}$$

Since  $\deg C = 2d + 2 > d = \deg V_d$ ,  $C$  is contained in a unique hyperplane section  $S_d$ . Moreover,  $E \subset S_d$  is recovered from  $C$  as the unique member of  $|\frac{1}{2}C + K_{S_d}|$ . Therefore the classification morphism  $W \rightarrow \mathrm{Hilb}^S V_d$  is an embedding. In particular, the Kodaira-Spencer map

$$\kappa_{[C]} : t_{W, [C]} \longrightarrow H^0(C, N_{C/V_d}) \quad (2)$$

of the family  $W$  is injective at any point  $[C] \in W$ . In what follows, we regard  $W$  as a subscheme of  $\mathrm{Hilb}^S V_d$ . Let us consider the exact sequence of normal bundles

$$0 \longrightarrow \underbrace{N_{C/S_d}}_{\cong \mathcal{O}_C(2K_C)} \longrightarrow N_{C/V_d} \longrightarrow \underbrace{N_{S_d/V_d}|_C}_{\cong \mathcal{O}_C(K_C)} \longrightarrow 0. \quad (3)$$

Note that the dimension of the tangent space  $H^0(C, N_{C/V_d})$  of  $\text{Hilb}^S V$  at  $[C]$  is equal to

$$\begin{aligned} h^0(N_{C/V_d}) &= h^0(2K_C) + h^0(K_C) \\ &= (3d + 3) + (d + 2) \\ &= 4d + 5 \\ &> \dim W = 4d + 4. \end{aligned}$$

Therefore there exists the following two possibilities:

- (A) The Zariski closure  $\overline{W}$  of  $W$  is an irreducible component of  $(\text{Hilb}^S V_d)_{\text{red}}$  and  $\text{Hilb}^S V_d$  is singular along  $W$ ;
- (B) There exists an irreducible component  $Z$  of  $\text{Hilb}^S V_d$  such that  $Z \supsetneq W$  and  $\text{Hilb}^S V_d$  is generically smooth along  $W$ .

The case (A) automatically implies that  $\text{Hilb}^S V_d$  is generically non-reduced along  $W$  since  $W$  is a component. We prove that the case (B) does not occur.

**Theorem 7.** The Zariski closure  $\overline{W}$  of  $W$  is an irreducible component of  $(\text{Hilb}_{2d+2, d+2}^S V_d)_{\text{red}}$  of dimension  $4d + 4$ , and  $\text{Hilb}^S V_d$  is generically non-reduced along  $W$ .

For the proof, we use infinitesimal analysis of the Hilbert scheme (infinitesimal deformations and their obstructions) which was used in [14],[2]. (In the case  $d = 3$ , there is another approach, which is similar to the method used by Mumford in [13].)

**Infinitesimal analysis of the Hilbert scheme** Let  $C$  be a curve on an algebraic variety  $V$ . An *(embedded) first order infinitesimal deformation* of  $C \hookrightarrow V$  is a closed subscheme  $\tilde{C} \subset V \times \text{Spec } k[t]/(t^2)$  which is flat over  $\text{Spec } k[t]/(t^2)$  and  $\tilde{C} \times k = C$ . The set of all first order deformations of  $C \hookrightarrow V$  are parametrized by  $H^0(N_{C/V})$  and isomorphic to the tangent space of the Hilbert scheme  $\text{Hilb}^S V$  at the point  $[C]$ . If  $\text{Hilb}^S V$  is smooth at  $[C]$ , then for every  $\alpha \in H^0(N_{C/V})$  and every integer  $n \geq 3$ , the corresponding infinitesimal first order deformation  $C_\alpha$  of  $C \hookrightarrow V$  lifts to a deformation over  $\text{Spec } k[t]/(t^n)$ .

**Proposition 8.** Let  $C$  be a smooth connected curve on a smooth del Pezzo 3-fold  $V_d$  of degree  $d \leq 7$ . Assume that  $C$  is contained in a smooth hyperplane section  $S_d$  of  $V_d$  and  $C \sim -2K_{S_d} + 2E$  for a line  $E$  on  $S_d$ . If  $N_{E/V_d}$  is trivial, then for any  $\alpha \in H^0(C, N_{C/V_d}) \setminus \text{im } \kappa_{[C]}$  (cf. (2)) the first order infinitesimal deformation  $C_\alpha$  of  $C$  does not lift to a deformation over  $\text{Spec } k[t]/(t^3)$ . (i.e. the obstruction  $\text{ob}(\alpha)$  is nonzero.)

**Fact 9 (Iskovskih).** Let  $E$  be a line on a smooth del Pezzo 3-fold  $V_d$  of degree  $d \leq 7$  and let  $N_{E/V_d}$  be the normal bundle. Then there are only the following possibilities:

$$\begin{array}{ll} (0,0): & N_{E/V_d} \simeq \mathcal{O}_{\mathbb{P}^1}^{\oplus 2}, & \dots & (good\ line) \\ (1,-1): & N_{E/V_d} \simeq \mathcal{O}_{\mathbb{P}^1}(-1) \oplus \mathcal{O}_{\mathbb{P}^1}(1), & & \\ (2,-2): & N_{E/V_d} \simeq \mathcal{O}_{\mathbb{P}^1}(-2) \oplus \mathcal{O}_{\mathbb{P}^1}(2), \text{ (only if } d = 1 \text{ or } 2) & \left. \vphantom{\begin{array}{l} (0,0): \\ (1,-1): \\ (2,-2): \end{array}} \right\} & (bad\ line) \\ (3,-3): & N_{E/V_d} \simeq \mathcal{O}_{\mathbb{P}^1}(-3) \oplus \mathcal{O}_{\mathbb{P}^1}(3). \text{ (only if } d = 1) & & \end{array}$$

Every general line  $E$  is a good line. All bad lines are parametrized by a curve on the Fano surface  $F$  of lines on  $V_d$ .

Theorem 7 follows from Proposition 8 and Fact 9 in the following way.

**Proof of Theorem 7** Let  $C$  be a general member of the irreducible closed subset  $W$ . We have the natural inequalities

$$\dim W \leq \dim_{[C]} \text{Hilb}^S V_d \leq h^0(C, N_{C/V_d}). \quad (4)$$

Since  $C$  is general, it follows from Fact 9 that  $E := 1/2(C + 2K_S)$  is a good line. Therefore by Proposition 8,  $C \hookrightarrow V_d$  has a first order infinitesimal deformation that does not lift to a deformation over  $\text{Spec } k[t]/(t^3)$ . Hence we have  $\dim_{[C]} \text{Hilb}^S V_d < h^0(C, N_{C/V_d})$ . Note that  $h^0(C, N_{C/V_d}) - \dim W = 1$ . This indicates  $\dim W = \dim_{[C]} \text{Hilb}^S V_d$ . In particular,  $W$  is an irreducible component of  $(\text{Hilb}^S V_d)_{\text{red}}$ . Since  $\text{Hilb}^S V_d$  is singular at every general point  $[C]$  of  $W$ ,  $\text{Hilb}^S V_d$  is non-reduced along  $W$ .  $\square$

Since  $V_8$  is isomorphic to  $\mathbb{P}^3$ ,  $\text{Hilb}^S V_8$  has a generically non-reduced component (cf. [13]). Thus we obtain Theorem 1 from Theorem 7.

We prove Proposition 8 by a criterion using cup products on cohomology groups. More precisely, we show that the obstruction  $\text{ob}(\alpha)$  is nonzero for every  $\alpha \in H^0(N_{C/V_d}) \setminus \text{im } \kappa_{[C]}$ .

**Lemma 10.** Let  $C$  be a smooth connected curve on a smooth variety  $V$  and let  $\alpha \in H^0(N_{C/V}) \simeq \text{Hom}(\mathcal{I}_{C/V}, \mathcal{O}_C)$  be a global section of the normal bundle  $N_{C/V}$ . Then the first order infinitesimal deformation  $\tilde{C} \subset V \times \text{Spec } k[t]/(t^2)$  corresponding to  $\alpha$  lifts to a deformation over  $\text{Spec } k[t]/(t^3)$  if and only if the cup product

$$\text{ob}(\alpha) := \alpha \cup \mathbf{e} \cup \alpha \in \text{Ext}^1(\mathcal{I}_{C/V}, \mathcal{O}_C).$$

is zero, where  $\mathbf{e} \in \text{Ext}^1(\mathcal{O}_C, \mathcal{I}_{C/V})$  is the extension class of the natural exact sequence  $0 \rightarrow \mathcal{I}_{C/V} \rightarrow \mathcal{O}_V \rightarrow \mathcal{O}_C \rightarrow 0$ .

We cut the computation of  $\text{ob}(\alpha)$  and the proof of its nonzero in this article.

Above non-reduced component of  $\text{Hilb}^S V_d$  can be generalized as follows. In its construction, we considered a family  $W$  of curves  $C \subset V_d$  lying on a smooth del Pezzo surface  $S_d = H \cap V_d$ . Every member  $C$  of  $W$  has an extra first order infinitesimal deformation of  $C \hookrightarrow V_d$  other than the ones coming from  $W$  (i.e.  $\dim W < H^0(N_{C/V_d})$ ). By a systematic study of the families  $W$  of such curves  $C$ , we obtain the next theorem. In what follows, we assume  $d = 3$  (i.e.  $V_d$  is a smooth cubic 3-fold  $V_3$ ) for simplicity.

**Theorem 11.** Let  $e > 5$  and  $g \geq e - 3$  be two integers, and let  $W \subset \text{Hilb}_{e,g}^S V_3$  be an irreducible closed subset whose general member  $C$  is contained in a smooth hyperplane section of  $V_d$ . Assume that  $W$  is maximal among all such subsets. Then we have the following:

- (1) If  $\rho := \dim H^1(V_3, \mathcal{I}_{C/V_3}(1)) = 0$  or  $1$ , then  $W$  is an irreducible component of  $(\text{Hilb}^S V_3)_{\text{red}}$  of dimension  $e + g + 3$ ;
- (2)  $\text{Hilb}^S V_3$  is generically smooth along  $W$  if  $\rho = 0$ , and is generically non-reduced along  $W$  if  $\rho = 1$ .

We give an example which is an application of Theorem 11. It is well known that a smooth cubic surface  $S_3 \subset \mathbb{P}^3$  is isomorphic to a blown-up of  $\mathbb{P}^2$  at 6-points. For each curve  $C$  on  $S_3$ , we have a 7-tuple  $(a; b_1, \dots, b_6)$  of integers as the divisor class  $[C] \in \text{Pic } S_3 \simeq \mathbb{Z}^7$ . The 7-tuple is uniquely determined from  $C$  up to the symmetry with respect to the action  $W(\mathbb{E}_6) \curvearrowright \text{Pic } S_3$  of the Weyl group  $W(\mathbb{E}_6)$ .

**Definition 12.** Let  $V_3$  be a smooth cubic 3-fold. For a given 7-tuple  $(a; b_1, \dots, b_6)$  of integers, we define an irreducible closed subset  $W_{(a; b_1, \dots, b_6)} \subset \text{Hilb}^S V_3$  whose general member  $C$  is contained in a smooth hyperplane section (i.e. smooth cubic surface)  $S_3$  of  $V_3$  by

$$W_{(a; b_1, \dots, b_6)} := \left\{ C \in \text{Hilb}^S V_3 \mid C \subset {}^3S_3 : \text{smooth cubic}, \quad C \in |\mathcal{O}_S(a : b_1, \dots, b_6)| \right\}^-.$$

Here  $-$  denotes the Zariski closure in  $\text{Hilb}^S V_3$ .

**Example 13.** Let  $\lambda \in \mathbb{Z}_{\geq 0}$  and let  $W$  be one of the irreducible closed subsets

$$\begin{aligned} W &= W_{(\lambda+6; \lambda+1, 1, 1, 1, 1, 0)} \subset \text{Hilb}_{e, 2e-16}^S V_3 \quad (e = 2\lambda + 13) \quad \text{or} \\ W &= W_{(\lambda+6; \lambda+2, 1, 1, 1, 1, 0)} \subset \text{Hilb}_{e, \frac{3}{2}e-9}^S V_3 \quad (e = 2\lambda + 12). \end{aligned}$$

Then a general member  $C$  of  $W$  satisfies  $h^1(C, \mathcal{I}_{C/V_3}(1)) = 1$ . Therefore by Theorem 11  $W$  is an irreducible component of  $(\text{Hilb}^S V_3)_{\text{red}}$  and  $\text{Hilb}^S V_3$  is generically non-reduced along  $W$ . In particular,  $\text{Hilb}^S V_3$  has infinitely many non-reduced components.

## §2 Non-reduced components of the Hom scheme

In this section, we construct a new example of a generically non-reduced component of the Hom scheme. We will deduce the non-reducedness of the Hom scheme from that of the Hilbert scheme. By Theorem 7 in the case  $d = 3$ , we have shown that there exists a generically non-reduced component  $\tilde{W}$  of the Hilbert scheme  $\text{Hilb}_{8,5}^S V_3$  (i.e.  $(\tilde{W})_{\text{red}} = \overline{W}$ ). Then there exists a natural morphism (called the *classification morphism*)

$$\varphi : \tilde{W} \longrightarrow \mathfrak{M}_5$$

from  $\tilde{W}$  to the moduli space  $\mathfrak{M}_5$  of curves of genus 5. Let  $X$  be a general curve of genus 5. The fiber  $\varphi^{-1}([X])$  at the point  $[X] \in \mathfrak{M}_5$  is isomorphic to an open subscheme of  $\text{Hom}(X, V_3)$ . We show that its Zariski closure  $T$  in  $\text{Hom}(X, V_3)$  satisfies the requirement of Theorem 2. It is essential to prove that  $\varphi$  is dominant. For the proof of the dominance we use the next theorem of Sylvester.

**Lemma 14 (Sylvester's pentahedron theorem (cf. [3])).** A general cubic form  $F(y_0, y_1, y_2, y_3)$  of four variables is a sum  $\sum_{i=0}^4 l_i(y_0, y_1, y_2, y_3)^3$  of the cubes of five linear forms  $l_i$  ( $0 \leq i \leq 4$ ).

**Proof of Theorem 2** Let  $X$  be a general curve of genus 5. The canonical model of  $X$ , that is, the image of  $X \xrightarrow{K_X} \mathbb{P}^4$ , is a general complete intersection  $q_1 = q_2 = q_3 = 0$  of three quadrics. Let  $q, q'$  be general members of the net of quadrics  $\langle q_1, q_2, q_3 \rangle$  and let  $S_4$  be their complete intersection  $q = q' = 0$ . Then  $S_4$  is a del Pezzo surface of degree 4. We denote the blow-up of  $S_4$  at a general point  $p \in S_4 \setminus X$  by  $\pi_p : S_3 \rightarrow S_4$ . Then we have a commutative diagram

$$\begin{array}{ccccc} X & \subset & S_4 & \subset & \mathbb{P}^4 \\ & & \uparrow \pi_p & & \downarrow \text{projection from } p \\ C & \subset & S_3 & \subset & \mathbb{P}^3. \end{array} \quad (5)$$

Here  $C$  denote the inverse image of  $X$  by  $\pi_p$ . Since  $X$  belongs to the linear system  $|-2K_{S_4}|$  on  $S_4$ ,  $C$  belongs to  $|\pi_p^*(-2K_{S_4})| = |-2K_{S_3} + 2E|$ , where  $E$  is the exceptional curve of  $\pi_p$ . By the choice of  $q, q'$  and  $p$ , it follows that  $S_3$  is a general cubic surface.

First we prove Theorem 2 in the case where  $V_3$  is a cubic 3-fold of Fermat type  $V_3^{\text{Fermat}}$ . By Lemma 14, a general cubic surface is isomorphic to a hyperplane section of  $V_3^{\text{Fermat}}$ . Hence so is  $S_3$ . By the commutative diagram (5) the classification morphism  $\varphi : \tilde{W} \rightarrow \mathfrak{M}_5$  is dominant, and general fiber  $T^{\text{Fermat}}$  is of dimension 4. Since  $\mathfrak{M}_5$  is generically smooth,  $\text{Hom}(X, V_3^{\text{Fermat}})$  is generically non-reduced along  $T^{\text{Fermat}}$ .



Theorem 2 for a general  $V_3$  follows from the Fermat case by the upper semi-continuity theorem on fiber dimensions.  $\square$

**Problem 15.** Let  $V_3 \subset \mathbb{P}^4$  be a cubic 3-fold and let  $\mathfrak{M}_{\text{cubic}}$  be the moduli space of cubic surfaces. Is the classification map

$$\varphi_{V_3} : (\mathbb{P}^4)^* \dashrightarrow \mathfrak{M}_{\text{cubic}}, \quad [H] \mapsto [H \cap V_3]$$

dominant for every smooth cubic 3-fold  $V_3 \subset \mathbb{P}^4$ ?

**Remark 16.** If we have the affirmative answer to the Problem 15, Theorem 2 is true for every smooth cubic 3-fold  $V_3 \subset \mathbb{P}^4$ .

### §3 Other examples

Let us see other examples concerning the non-reducedness of the Hilbert schemes and the Hom schemes.

#### §3.1 Curves on a Jacobian variety

A simple example of a generically non-reduced component of the Hilbert scheme is obtained from the Abel-Jacobi map  $\alpha : C \hookrightarrow \text{Jac } C$  of a curve  $C$ . Every deformation of  $\alpha$  induces a deformation of  $\text{Jac } C \xrightarrow{\sim} \text{Jac } C$ . Therefore every deformation of  $\alpha(C)$  as a subscheme of  $\text{Jac } C$  is a translation of  $\alpha(C)$  in  $\text{Jac } C$  induced by the group structure of  $\text{Jac } C$ . Hence  $(\text{Hilb}^S(\text{Jac } C))_{\text{red}}$  contains an irreducible component  $T \simeq \text{Jac } C$  passing through  $[\alpha(C)]$ .

**Proposition 17.** If  $C$  is a hyperelliptic curve of genus  $g \geq 3$ , then the Hilbert scheme  $\text{Hilb}^S(\text{Jac } C)$  is non-reduced along  $T$ .

*Proof* It suffices to show the non-reducedness at  $[\alpha(C)]$ . Let

$$\begin{array}{ccccccc} 0 \rightarrow \mathcal{T}_C & \rightarrow & \mathcal{T}_{\text{Jac } C}|_C & \rightarrow & N_{C/\text{Jac } C} & \rightarrow & 0 \\ & & \parallel & & & & \\ & & H^1(\mathcal{O}_C) \otimes \mathcal{O}_C & & & & \end{array}$$

be the natural exact sequence. The induced linear map  $H^1(\mathcal{T}_C) \rightarrow H^1(\mathcal{O}_C) \otimes H^1(\mathcal{O}_C)$  is not injective since  $H^0(K_C) \otimes H^0(K_C) \rightarrow H^0(K_C^{\otimes 2})$  is not surjective by assumption and computation. Hence we have  $\dim H^0(N_{C/\text{Jac } C}) > g = \dim T$  by the exact sequence.  $\square$

This non-reducedness is caused by the ramification of the period map  $\mathfrak{M}_g \rightarrow \mathcal{A}_g$  along the hyperelliptic locus. The Hom scheme  $\text{Hom}(C, \text{Jac } C)$  is non-singular at  $\alpha$ .

### §3.2 Mumford pathology

Mumford [13] proved that the Hilbert scheme  $\mathrm{Hilb}_{14,24}^S \mathbb{P}^3$  of smooth connected curves in  $\mathbb{P}^3$  of degree 14 and genus 24 has a generically non-reduced component  $W$  of expected dimension 56. A general member  $C$  of  $W$  is contained in a smooth cubic surface. It is linearly normal and not 3-normal (i.e.  $H^1(\mathbb{P}^3, \mathcal{I}_C(3)) \neq 0$ ). Since the dimension of the moduli space  $\mathfrak{M}_{24}$  is bigger than  $\dim W$ ,  $[C] \in \mathrm{Hilb}_{14,24}^S \mathbb{P}^3$  is not general in  $\mathfrak{M}_{24}$ .

### §3.3 Curves on Fano 3-folds

It is known that the Hilbert schemes  $\mathrm{Hilb}_{1,0} V$  of lines on certain special Fano 3-folds  $V$  are generically non-reduced. Hence so are the Hom schemes  $\mathrm{Hom}_1(\mathbb{P}^1, V)$  of morphisms of degree 1 with respect to  $-K_V$ . But in this case  $\mathrm{Hilb}_{1,0} V'$  and hence  $\mathrm{Hom}_1(\mathbb{P}^1, V')$  of their general deformations  $V'$  are generically reduced. We give two examples.

- (1) Let  $V_4 \subset \mathbb{P}^4$  be a smooth quartic 3-fold. If a hyperplane section of  $V_4$  is a cone over a plane quartic  $D$ , then  $(\mathrm{Hilb}_{1,0} V_4)_{\mathrm{red}}$  has  $D$  as its irreducible component. Moreover,  $\mathrm{Hilb}_{1,0} V_4$  is non-reduced along the component ([8, II §3]).
- (2) In [12], Mukai and Umemura studied a compactification  $U_{22} := \overline{PSL(2)/I_{60}} \subset \mathbb{P}^{12}$  of the quotient variety of  $PSL(2)$  by the icosahedral group  $I_{60}$ . It is proved that the Hilbert scheme  $\mathrm{Hilb}_{1,0} U_{22}$  of lines in  $U_{22}$  is a double  $\mathbb{P}^1$ . However  $U_{22}$  has the 6-dimensional deformation space, and  $\mathrm{Hilb}_{1,0} U'_{22}$  is generically reduced for every deformation  $U'_{22} \not\cong U_{22}$  of  $U_{22}$ . (cf. Prokhorov[15]).

### §3.4 Curves on a quintic 3-fold

A generic projection  $C = [C_8 \subset \mathbb{P}^3]$  of canonical curves of genus 5 appears also in Voisin's example (Clemens-Kley[1]). It is proved that if a smooth quintic 3-fold  $V_5 \subset \mathbb{P}^4$  contains  $C$ , then the Hilbert scheme  $\mathrm{Hilb}_{8,5}^S V_5$  has an embedded component at  $[C]$ .

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